



Request for Transaction Records

Please complete this form and send it with a copy of the customer's identification to Compliance_IE@riafinancial.com or by post to the address shown above, addressed to the Compliance Department. The customer must sign the form where shown.

Please note that the Transaction Records can only be produced either in full or to a maximum of one specific beneficiary.

Ria Financial Services will not release any information to anyone other than the customer once the customer has confirmed their identity unless the customer has given express consent. Proof of address may be requested in order to further verify the customer as per Ria's internal policy.

Date:

Agent Number:

Customer Name:

Customer Email:

Customer Number:

Customer ID:

Period Requested:

Customer Signature:

If the customer does not have an email address then it is understood by Ria and the customer that by signing below, the customer gives Ria express consent to release the transaction records to the persons stated below.

Transaction Records to be released to:

Signature of Customer:

FAO: Compliance Department

Phone: 0872 899 961

